

Energy Regulatory Commission

PROCESS	DOCUMENTS REQUIRED	FEES
Application for Certificate of Compliance (COC)	Completed COC Application Form #1	Filing fee, Php10,000
	Completed Company Profile COC Form #2	
	Articles of Incorporation/Partnership (for Corporation/Partnership) with Certificate of Registration;	
	Business Name Registration Certificate (for Single Proprietorship);	
	Latest General Information Sheet (GIS) of the applicant- Securities and Exchange Commission (SEC) Form;	
	Sworn Statement that the applicant complies with and will continue to comply with the provisions on cross ownership "and market share restrictions for the duration of the COC (Form # 6)	
	Duly attested comprehensive and complete listing of Affiliates and Related Groups, including ownership and management structure; and	
	PSE Certificate to the effect that the Generation Company is listed with PSE, if applicable.	
	Complete set of Audited Financial Statements (AFS) covering the two (2) most recent twelve (12) month periods	
	Schedule of liabilities, to include the following information: name of creditor, type of credit, and credit terms; and	
Five (5) year financial plan.		
Conduct of Technical Inspection	Sworn Statement that each of the Facilities comply with the PGC and PDC for the duration of the COC (Form #4)	Technical Evaluation, Actual cost
	Sworn statement that the company and its generating facilities will comply with the Wholesale Electricity Spot Market (WESM) Rules and Manuals for the duration of the COC, upon its registration with WESM, when applicable. (Form #5)	
	Management and/or Lease Contracts in force, if applicable	
	Affidavit of Compliance with PGC & PDC	
	General Plant Description (Form #7)	
	Location map	
	Affidavit of Compliance with WESM Rules	
	Affidavit of Compliance on Cross Ownership Connection Agreement between the Grid Owner (NGCP) and the Existing Generation Facility or Interconnection plans/details with the high voltage backbone transmission system/grid or Distribution System (for Embedded Generators) and or Transmission/Distribution Service Agreement, if available	
Submission of PGC, PDC and WESM requirements	a. Electrical/Mechanical Plans and Diagrams;	
	b. Plant Specifications such as Minimum Stable Load, Maximum Stable Load, Ramp Up Rate and Ramp Down Rate, including plant efficiency and Heat Rate, if applicable;	
	c. Technical Description of the Load to be served (for those in isolated areas and those serving dedicated loads);	
	d. Details of its Communication and SCADA Requirements, if applicable.	

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	<p>e. Certificate of Technical Requirements Compliance issued by NGCP to a Generation Company or a Generation Facility confirming a generating unit's capability to operate within its registered parameters and its capability to meet the requirements of the PGC through the conduct of Generating Unit Capability Test; Certificate of Approval to Connect</p> <p>f. Certification issued by NGCP to Generation Company or Generation Facility attesting to its compliance with the approved connection scheme provided in the Grid Impact Study (GIS), Facility Study (FS), Connection Agreement (CA) and Transmission Service Agreement (TSA), if applicable; and</p> <p>g. For Embedded Generating Facilities, Distribution Impact Study (DIS) as required by the PDC.</p>	
Submission of other requirements	<p>Memorandum of Agreement on the Establishment of Trust Accounts by the Generation Company and/or the Energy Resource Developer and the DOE on Benefits to Host Communities</p> <p>Environmental Compliance Certificate (ECC) approved by DENR</p> <p>DOE Endorsement indicating that the power plant project is consistent with the Power Development Program of the Government or with the Missionary Electrification Development Plan (MEDP) for power plant project located in isolated grids;</p> <p>In the case of a Generation Company operating an RE plant that intends to avail of the FIT:</p> <p>a) DOE issuance of Confirmation of Commerciality confirming the commercial viability of the project;</p> <p>b) DOE Confirmation of Electromechanical Completion and Certificate of Endorsement for FIT Eligibility; and</p> <p>c) DOE Certificate of Endorsement for FIT Eligibility</p> <p>Power Supply Agreement(s), if applicable;</p> <p>Such other information or document that the ERC may require. Any additional technical information may be required by the ERC upon prior notice to the applicant.</p>	